

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

- (i) \* Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- \* Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) \*e-mail ID of the company
- (d) \*Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv) 

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company
- (v) Whether company is having share capital  Yes  No
- (vi) \*Whether shares listed on recognized Stock Exchange(s)  Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

12/08/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	75.9

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

4

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	KOEL Americas Corp.		Subsidiary	100
2	LA-GAJJAR MACHINERIES PRIV	U17110GJ1981PTC004263	Subsidiary	76

3	ARKA FINCAP LIMITED	U65993MH2018PLC308329	Subsidiary	100
4	OPTIQUA PIPES AND ELECTRIC	U29304GJ2021PTC120412	Subsidiary	100

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	270,000,000	144,614,326	144,614,326	144,613,861
Total amount of equity shares (in Rupees)	540,000,000	289,228,652	289,228,652	289,227,722

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	270,000,000	144,614,326	144,614,326	144,613,861
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	540,000,000	289,228,652	289,228,652	289,227,722

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	5,527,194	139,086,667	144613861	289,227,722	289,227,722	
<b>Increase during the year</b>	0	0	0	384,624	384,624	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				384,624	384,624	0
Due to transfer / transmission						
<b>Decrease during the year</b>	0	0	0	384,624	384,624	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				384,624	384,624	
Due to transfer / transmission						
<b>At the end of the year</b>	5,527,194	139,086,667	144613861	289,227,722	289,227,722	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

**(ii) Details of stock split/consolidation during the year (for each class of shares)**

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	28/08/2020
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Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

2,663.62

**(ii) Net worth of the Company**

1,969.94

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	77,577,743	53.64	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	8,385,162	5.8	0	
10.	Others	0	0	0	
	<b>Total</b>	85,962,905	59.44	0	0

**Total number of shareholders (promoters)**

36

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	25,265,683	17.47	0	
	(ii) Non-resident Indian (NRI)	571,356	0.4	0	
	(iii) Foreign national (other than NRI)	1,132	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	695,874	0.48	0	
3.	Insurance companies	3,547,694	2.45	0	

4.	Banks	150,071	0.1	0	
5.	Financial institutions	3,201,112	2.21	0	
6.	Foreign institutional investors	1,875	0	0	
7.	Mutual funds	13,475,288	9.32	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,647,312	1.83	0	
10.	Others Foreign Portfolio Investors	9,093,559	6.29	0	
	<b>Total</b>	58,650,956	40.55	0	0

**Total number of shareholders (other than promoters)**

42,926

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

42,962

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

2

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Banco Santander New	C/O THE HONGKONG & SHANGHAI			1,500	0
Morgan Stanley Asset	C/O HONGKONG & SHANGHAI BAI			375	0

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	34	36
Members (other than promoters)	35,276	42,962
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	2	1	3	10.14	20.88

<b>B. Non-Promoter</b>	1	9	1	9	0	0.01
(i) Non-Independent	1	2	1	2	0	0.01
(ii) Independent	0	7	0	7	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	11	2	12	10.14	20.89

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

16

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Atul C. Kirloskar	00007387	Whole-time director	14,670,947	
Sanjeev Nimkar	07869394	Managing Director	0	
Rahul C. Kirloskar	00007319	Director	17,782,902	
M. Lakshminarayan	00064750	Director	0	
Mahesh R. Chhabria	00166049	Director	11,552	
Gauri A. Kirloskar	03366274	Director	5,753,580	
Pradeep R. Rathi	00018577	Director	0	09/07/2021
Vinesh Kumar Jairath	00391684	Director	0	
Satish Jamdar	00036653	Director	0	
Sunil Shah Singh	00233918	Director	0	
Mrunalini Deshmukh	07092728	Director	0	
Shalini Sarin	06604529	Director	0	
Kandathil Mathew Abraf	05178826	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Nihal G. Kulkarni	01139147	Director	6,652,472	
Pawan Kumar Agarwal	ACSPA9044N	CFO	0	
Smita Raichurkar	ALQPR0654J	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Sanjeev Nimkar	07869394	Managing Director	29/04/2020	Appointment
Nihal G. Kulkarni	01139147	Managing Director	28/04/2020	Change in Designation
Rajendra. R. Deshpand	00007439	Managing Director	28/04/2020	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	28/08/2020	37,477	60	45.85

**B. BOARD MEETINGS**

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	19/06/2020	14	14	100
2	30/07/2020	14	13	92.86
3	30/10/2020	14	13	92.86
4	05/02/2021	14	14	100
5	05/03/2021	14	14	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
6	29/03/2021	14	12	85.71

### C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	19/06/2020	6	6	100
2	Audit Committee	30/07/2020	6	6	100
3	Audit Committee	30/10/2020	6	5	83.33
4	Audit Committee	05/02/2021	6	6	100
5	Audit Committee	05/03/2021	6	6	100
6	Corporate Social Responsibility	30/07/2020	3	3	100
7	Corporate Social Responsibility	05/03/2021	3	3	100
8	Nomination and Remuneration	19/06/2020	4	4	100
9	Nomination and Remuneration	05/03/2021	4	4	100
10	Stakeholders Forum	29/01/2021	3	3	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Atul C. Kirloskar	6	6	100	0	0	0	Yes
2	Sanjeev Nimkar	6	6	100	1	1	100	Yes
3	Rahul C. Kirloskar	6	6	100	4	4	100	Yes
4	M. Lakshmina	6	6	100	10	10	100	Yes
5	Mahesh R. Chavan	6	6	100	7	7	100	Yes

6	Gauri A. Kirloskar	6	6	100	1	1	100	Yes
7	Pradeep R. Raut	6	4	66.67	11	9	81.82	Not Applicable
8	Vinesh Kumar	6	6	100	5	5	100	Yes
9	Satish Jamdar	6	6	100	10	10	100	Yes
10	Sunil Shah Sir	6	6	100	0	0	0	Yes
11	Mrunalini Deshpande	6	6	100	0	0	0	Yes
12	Shalini Sarin	6	5	83.33	0	0	0	Yes
13	Kandathil Math	6	6	100	5	5	100	Yes
14	Nihal G. Kulkarni	6	5	83.33	2	2	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Atul C. Kirloskar	Executive Chair	0.25	4	0	0	4.25
2	Sanjeev Nimkar	Managing Direct	1.44	4	0	0	5.44
3	Nihal G. Kulkarni	Managing Direct	0.03	0.25	0	0	0.28
4	Rajendra. R. Deshpande	Managing Direct	0.22	0.1	0	0	0.32
	Total		1.94	8.35	0	0	10.29

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Pawan Kumar Agarwal	CFO	1.09	0	0	0	1.09
2	Smita Raichurkar	Company Secre	0.25	0	0	0	0.25
	Total		1.34	0	0	0	1.34

Number of other directors whose remuneration details to be entered

12

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	M. Lakshminarayan	Independent Dir	0	0.3	0	0.04	0.34

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	Pradeep R. Rathi	Independent Dir	0	0.09	0	0.03	0.12
3	Satish Jamdar	Independent Dir	0	0.11	0	0.04	0.15
4	Mrunalini Deshmukh	Independent Dir	0	0.03	0	0.02	0.05
5	Sunil Shah Singh	Independent Dir	0	0.03	0	0.02	0.05
6	Kandathil Mathew A	Independent Dir	0	0.08	0	0.03	0.11
7	Shalini Sarin	Independent Dir	0	0.05	0	0.01	0.06
8	Nihal Kulkarni	Non-Executive D	0	0	0	0.02	0.02
9	Rahul C. Kirloskar	Non-Executive D	0	0.05	0	0.02	0.07
10	Gauri Kirloskar	Non-Executive D	0	0.3	0	0.02	0.32
11	Mahesh Chhabria	Non-Executive D	0	0.84	0	0.03	0.87
12	Vinesh Kumar Jaire	Non-Executive D	0	0.35	0	0.03	0.38
	Total		0	2.23	0	0.31	2.54

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow  Associate  Fellow

Certificate of practice number

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

Atul Chandrakant Kirloskar  
Digitally signed by Atul Chandrakant Kirloskar  
Date: 2021.10.04 16:08:30 +05'30'

DIN of the director

**To be digitally signed by**

Smita Arun Raichurkar  
Digitally signed by Smita Arun Raichurkar  
Date: 2021.10.04 16:10:27 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

Annexure A to MGT 7.pdf
KOEL_MGT-8_2021.pdf

<b>Remove attachment</b>
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Modify
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Check Form
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Prescrutiny
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Submit
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**Annexure A to Form MGT 7 of Kirloskar Oil Engines Limited**

With reference to filing of Form MGT-7 of Kirloskar Oil Engines Limited for the Financial Year ended 31<sup>st</sup> March 2021, you are requested to take note of the following additional information:

- For point no. II- Number of Business activities are 2 falling under same main activity group code and same Business Activity Code. But as the main activity group code and business activity code are same the 2<sup>nd</sup> business activity cannot be added. Hence the 2<sup>nd</sup> Business activity details are as follows:

Sr. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
2	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment, Transport equipment	19.7%

- For point no. IV (i)(a) - Reason for difference between Issued and Paid up capital - 465 equity shares of Rs. 2/- each were kept in abeyance as per Scheme of Arrangement between Kirloskar Industries Limited (earlier known as Kirloskar Oil Engines Limited) and Kirloskar Oil Engines Limited (earlier known as Kirloskar Engines India Limited) as approved by Hon'ble High Court of Judicature at Bombay vide its order dated 31 July 2009 read with its order dated 19<sup>th</sup> March 2010.
- For point no. V figures are mentioned in Crores.
- For point no. IX (C) – Committee Meeting (Audit Committee, Nomination and Remuneration Committee, Corporate Social responsibility Committee, Stakeholders Relationship Committee and Risk Management Committee) held during FY 2020-21 were 13. In the form only 10 entries can be added. The details of remaining Committee Meetings are as follows:

Sr. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of Attendance
1	Risk Management Committee	17/07/2020	3	3	100
2	Risk Management Committee	30/09/2020	3	3	100
3	Risk Management Committee	29/01/2021	3	3	100

5. For point X, the remuneration figures are mentioned in Crores.

For Kirloskar Oil Engines Limited

Sd/-

Smita Raichurkar  
Company Secretary  
Date: 1<sup>st</sup> October 2021

**FORM No. MGT-8**

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of  
Companies (Management and Administration) Rules, 2014]*

I have examined the registers, records and books and papers of **KIRLOSKAR OIL ENGINES LIMITED** (the Company) CIN L29100PN2009PLC133351 as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. its status under the Act;
  2. maintenance of registers/records & making entries therein within the time prescribed therefor;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government (IEPF), the Tribunal, Court~~ or other authorities within/beyond the prescribed time;
  4. Calling/convening/holding meetings of Board of Directors or its committees, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices (including shorter notice given for Board Meeting held on 29<sup>th</sup> March 2021) were given and the proceedings including circular resolution ~~and resolutions passed by postal ballot, if any,~~ have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed;
  5. Closure of Register of Members;
  6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; - **Not Applicable as no such incidence during the year;**
  7. Contracts/arrangements with related parties as specified in section 188 of the Act;



8. ~~Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities and issue of security certificates in all instances;~~
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; - **Not Applicable as no such incidence during the year;**
10. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/transfer of related shares and other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act, IEPF Rules 2017 as amended from time to time;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/appointment/re-appointments/retirement/~~filling up casual vacancies,~~ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment/reappointment/~~filling up casual vacancies~~ of auditors as per the provisions of section 139 of the Act;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; - **Not Applicable as no such incidence during the year;**
15. Acceptance/renewal/repayment of deposits; - **Not Applicable as no such incidence during the year;**
16. Borrowings from ~~its directors, members,~~ public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. Alteration of the provisions of the Memorandum ~~and/or Articles~~ of Association of the Company.

Date: 4<sup>th</sup> October, 2021

Place: Pune

Signature: \_\_\_\_\_



Name of Company Secretary in practice: Mahesh J. Risbud

C.P. No.: 185

UCN: S1981MH000400

UDIN: F000810C001079371